

0040-4020(94)00584-2

Synthesis of Highly Functionalized Tropolones By Rhodium(II)-Catalyzed Reactions of Vinyldiazomethanes With Oxygenated Dienes

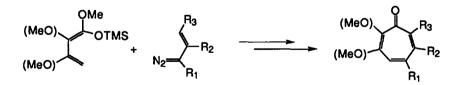
Huw M. L. Davies* and T. Jeffrey Clark

Department of Chemistry, Wake Forest University, Box 7486, Winston-Salem, North Carolina 27109

Abstract: Rhodium(II) catalyzed decomposition of vinyldiazomethanes to vinylcarbenoids in the presence of oxygenated dienes leads to the regioselective synthesis of cycloheptadienes by a tandem cyclopropanation/Cope rearrangement. The resulting cycloheptadienes are readily hydrolyzed and oxidized, leading to a very direct and general synthesis of highly functionalized tropolones.

The synthesis of highly functionalized tropolones has drawn considerable interest because the tropolone ring is present in a number of natural products.^{1,2} The most general synthetic approaches have been through expansion of six-membered rings³⁻⁸ or by cycloadditions.⁹⁻¹⁵ This paper describes a systematic study to develop an alternative general entry to highly functionalized tropolones though the reaction between vinylcarbenoids¹⁶ and dienes as illustrated in Scheme 1. The focus of this work was directed towards the predictable synthesis of highly oxygenated tropones.

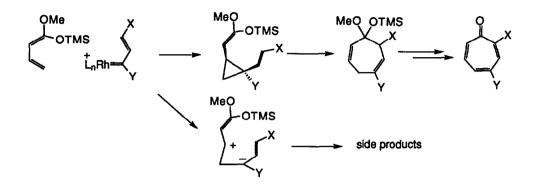
Scheme 1



We have previously described the application of rhodium(II) stabilized vinylcarbenoids for the general synthesis of tropones as illustrated in Scheme 2.¹⁷ The reaction proceeds by a tandem cyclopropanation/Cope rearrangement. A crucial feature of this chemistry is that vinylcarbenoid cyclopropanations proceed with very high stereoselectivity favoring formation of cis divinylcyclopropanes, which then readily rearrange to cycloheptadienes. Most of the vinyldiazomethanes that were used in the earlier study contained two electron withdrawing groups. Major side reactions through the intermediacy of zwitterionic species were avoided by use of non-polar solvents. Expansion of this work to the synthesis of tropolones and other oxygenated tropones would require the use of very electron rich dienes. Thus a major emphasis of the current study into the synthesis of tropolones was to evaluate what dienes may be successfully used for seven-membered ring formation in the

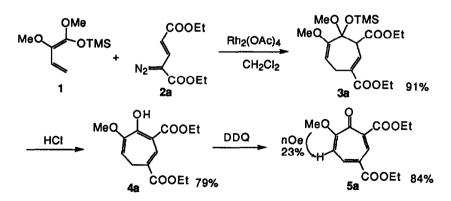
reaction of vinylcarbenoids. A second feature of this work was the use of new types of vinylcarbenoids with particular emphasis on whether electron donating groups can be successfully incorporated into the vinylcarbenoid.

Scheme 2



The first diene that was examined was 1,2-dimethoxy-1-(trimethylsiloxy)butadiene (1) as this diene could lead to the synthesis of methyl tropolones in a regiospecific manner. Normally, methylation of tropolones produces a mixture of two methylated products^{1,2} and so, the direct synthesis of methylated tropolones in a predictable manner was considered to have significant practical advantages. Rhodium(II) acetate catalyzed decomposition of the vinyldiazomethane 2a proceeded very cleanly to form the cycloheptadiene 3a in 91% yield. Hydrolysis of the silyl ketal protecting group in 3a was readily achieved by treatment with dilute HCl to generate the cycloheptatrienol 4a in 79% yield. DDQ oxidation of 4a proceeded smoothly to give the tropolone 5a in 84% yield. Confirmation that the hydrolysis of 3a had proceeded uneventfully was obtained from the nOe analysis of 5a which clearly showed that the methoxy group was still positioned adjacent to a vinylic hydrogen.





The reaction could be extended to a range of vinyldiazomethanes and the results are summarized in Table 1. In most instances, the catalyst/solvent system of rhodium(II) pivalate/hexane was used as this combination limits any possibility of side-reactions occurring through dipolar intermediates.^{17,18} In addition to HCl followed by DDQ, two other hydrolysis/oxidation conditions were employed to convert the cycloheptadiene to the tropolone. Citric acid followed by DDQ was preferred for labile systems such as 5d and 5g, while a combination of TosOH/DDQ resulted in the direct conversion of 3 to 5. Unlike the case for 3a, the cycloheptadienes 3c and 3g were unstable and were used in subsequent reactions without chromatographic purification, leading to the formation of the tropones 5c and 5g in 46 and 67% yields, respectively.

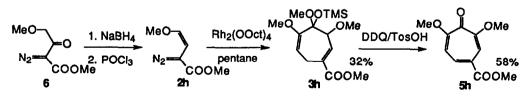
Table 1: Synthesis of tropolones 5.

N2	R_3 R_2 R_2 R_1	1 lh2(OOCR)	MeO 4	$ \begin{array}{c} POTMS\\ R_3\\ R_2\\ R_1\\ R_1 \end{array} $	H+/D	DQ Me	
Substrate	R1	R2	R3	product	yield, %	product	yield, %
2a	COOEt	Н	COOEt	3a	91	5a	67 ^b
2b	COO ^t Bu	н	Ph	3b	91	5b	91b
2c	COOEt	Н	SPh	3c	_a	5 c	46 ^c
2d	COOMe	cyclo-(CH	I ₂) ₃ -	3 d	68	5b	80 ^b
2e	COOEt	cyclo-(CH	[2)4-	3e	62	5e	59b
2f	COO ^t Bu	Н	Н	3f	60	5 f	60 ^b
2g	COOMe	Н	Me	3 g	_a	5 g	67°

a: compound was unstable to chromatography; b: overall yield from 3; c: overall yield from 2.

A further notable example is the reaction with the methoxy substituted vinyldiazomethane 2h as this leads to introduction of an additional methoxy group in the final tropone 5h. As 2h contains an electron donating group the chemistry is more challenging because the vinyldiazomethane has limited stability. However, reaction of freshly prepared 2h gave the cycloheptadiene 3h in 32% yield (based on the vinyldiazomethane precursor 6^{19}). A one pot oxidation/hydrolysis of 3h using TosOH/DDQ gave the tropolone 5h in 58% yield.

Scheme 4



Attempts were then made to extend the chemistry by using 1,3-dimethoxy-1-(trimethylsiloxy)butadiene (7) as the diene. Neither rhodium(II) acetate or rhodium(II) pivalate catalyzed decomposition of 2a in the presence of 7 generated cycloheptadiene products. Application of the reaction, however, to vinyldiazomethanes that contained less electron withdrawing groups than 2a did enable formation of cycloheptadiene products in certain cases. Rhodium(II) pivalate catalyzed decomposition of 2i in the presence of 7 with hexane as solvent gave the cycloheptadiene 8i. As 8i was unstable to chromatography, it was directly converted to 9i without purification by treatment with VO(OEt)Cl₂. This resulted in the formation of 9i in 64% overall yield from 2i. Confirmation that hydrolysis of 8i had proceeded uneventfully was obtained through nOe analysis of 9i which showed enhancement to the two adjacent vinylic protons on irradiation of the methoxy group. The reaction sequence could be extended to other substrates and the results are summarized in Table 2.

Scheme 5

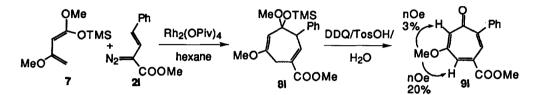
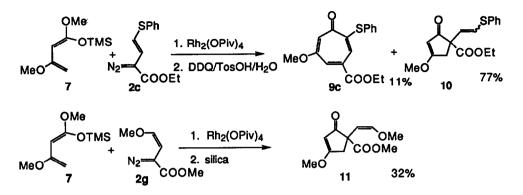


Table 2. Synthesis of 3-methoxytropones

MeO	$ \begin{array}{c} $			n₂(OPiv)₄/per DQ/TosOH		
	substrate	- R1	R2	R3	product	9 yield, %
	2c	COOEt	Н	SPh	9c	11
	2 g	COOMe	н	Me	9 g	58
	2i	COOMe	н	Ph	9i	64
	2ј	COOMe	н	SEt	9j	39

Further demonstration of the effect of the 3-methoxy substituent was seen in the reaction of 7 with the thiophenyl vinyldiazomethane 2c. Even though 2c had reacted cleanly with the 2-methoxy substituted diene 1, the same reaction sequence with the 3-methoxy substituted diene 7 followed by oxidation/hydrolysis gave a mixture of two products. The tropone 9c was formed as a minor product, while the major product was the cyclopentenone 10 presumably formed via zwitterionic intermediates. A similar problem was seen in the methoxy substituted vinyldiazomethane 2h where the only isolated product from the reaction of 2h with 7 was the cyclopentenone 11.

Scheme 6



In summary, the reactions between vinyldiazomethanes and oxygenated dienes leads to the synthesis of a variety of tropolones. The reactions are extremely efficient with the 2-methoxy substituted diene 1, but side products are prevalent in many reactions with the 3-methoxy substituted diene 7. Presumably, a 3-methoxy substituent on the diene would stabilize zwitterionic intermediates which would enhance the formation of side-product instead of the direct cyclopropanation.

EXPERIMENTAL SECTION

General Section. Dichloromethane was distilled over calcium hydride. ¹H NMR (200 MHz) and ¹³C NMR (50.3 MHz) spectra were recorded on a Varian VXR-200 spectrometer. IR spectra were recorded on a Perkin-Elmer 1330 infrared spectrophotometer. Elemental analyses were performed by Atlantic Microlab, Norcross, GA. Low resolution mass spectral determinations were carried out on a Hewlett Packard 5890 Series II GC interfaced with a Hewlett Packard 5989A mass selective detector operating at 70 eV. 1,2-Dimethoxy-1-(trimethylsiloxy)butadiene (1),²⁰ 1,3-dimethoxy-1-(trimethylsiloxy)butadiene (7)²⁰ and the vinyldiazomethanes 2^{18,19} were prepared by literature procedures or variations thereof.

Rhodium(II) Carboxylate Catalyzed Decomposition of Vinyldiazomethanes 2 in the Presence of 1. General Procedure. A solution of 2 (5 mmol) in CH₂Cl₂ or hcxane (10 mL) was added over 10 min to a stirred mixture of rhodium(II) carboxylate (0.05 mmol) and 1 (10 mmol) in CH₂Cl₂ (10 mL), heated under reflux in an argon atmosphere. After heating for a further 10 min, the solvent was evaporated under reduced pressure and the excess diene was removed by short path distillation (40-50 °C, 0.5 mm Hg). All products were purified by column chromatography. The quantity of 2, the catalyst, reaction solvent, and purification absorbent and eluent used are listed in abbreviated form in that order.

Diethyl 4,5-Dimethoxy-4-[(trimethylsilyl)oxy]cyclohepta-1,5-diene-1,3-dicarboxylate (3a). 2a (1.06 g, 5 mmol), rhodium(II) acetate, CH₂Cl₂, silica, diethyl ether/ petroleum ether (1:4); yield 1.75 g (91%) of a white solid: m.p. 55-58 °C; IR (neat) 2985, 2980, 2900, 2800, 1730, 1700, 1645, 1440 cm⁻¹; ¹H NMR (CDCl₃) δ 7.00 (dd, 1 H, J = 6.6, 2.4 Hz), 4.80 (dd, 1 H, J = 9.5, 3.4 Hz), 4.15 (2q, 4 H, J = 7.3 Hz), 3.85 (dd, 1 H, J = 6.6, 2.0 Hz), 3.47 (s, 3 H), 3.26 (s, 3 H), 3.25 (dd, 1 H, J = 18.2, 9.5 Hz) 2.97 (br d, 1 H, J = 18.2 Hz), 1.27 (t, 6 H, J = 7.3 Hz), 0.71 (s, 9 H); ¹³C NMR (CDCl₃) δ 168.9, 166.6, 154.5, 136.1, 134.7, 96.9, 97.5, 60.7, 60.7, 53.6, 52.8, 51.0, 22.9, 14.0, 13.9, 1.5. Anal. Calcd for C₁₈H₃₀O₇Si: C, 55.93; H, 7.82. Found: C, 55.93; H, 7.83.

Dimethylethyl 4,5-Dimethoxy-3-phenyl-4-[(trimethylsilyl)oxy]cyclohepta-1,5-diene-1carboxylate (3b). 2b (1.22 g, 5.00 mmol), rhodium(II) acetate, CH₂Cl₂, silica, diethyl ether/ petroleum ether (1:4); yield 1.68 g (91%) of a colorless oil: IR (neat) 3060, 3020, 2985, 2900, 2830, 1700 br, 1600, 1450 cm⁻¹; ¹H NMR (CDCl₃) δ 7.34-7.21 (m, 5 H), 6.83 (dd, 1 H, J = 6.6, 2.3 Hz), 4.85 (dd, 1 H, J = 8.6, 4.6 Hz), 3.90 (dd, 1 H, J = 6.6, 2.3 Hz), 3.29 (s, 3 H), 3.26-3.16 (m, 2 H), 3.23 (s, 3 H), 1.45 (s, 9 H), -0.12 (s, 9 H); ¹³C NMR (CDCl₃) δ 167.1, 154.8, 139.1, 138.1, 132.3, 130.6, 127.6, 127.0, 101.0, 95.6, 80.3, 53.7, 53.1, 50.0, 28.1, 1.7. The product was of insufficient stability to obtain elemental analysis.

Methyl 1,2,3,5,8,8a-Hexahydro-7,8-dimethoxy-8-[(trimethylsilyl)oxy]azulene-4-carboxylate(3d). 2d (0.830 g, 5 mmol), rhodium(II) pivalate, hexane, alumina, diethyl ether/petroleum ether (1:9); yield 1.15 g (68%) of a colorless oil: IR (neat) 2920, 2890, 2820, 1690, 1650 cm⁻¹; ¹H NMR (CDCl₃) δ 5.01 (dd, 1 H, J = 10.1, 3.2 Hz), 3.71 (s, 3 H), 3.44 (s, 3 H), 3.30 (dd, 1 H, J = 16.9, 10.0 Hz), 3.15 (s, 3 H), 3.44-1.15 (m, 8 H), 0.50 (s, 9 H). The product was of insufficient stability to obtain elemental analysis.

Ethyl 2,3,4,6,9,9a-Hexahydro-8,9-dimethoxy-9-[(trimethylsilyl)oxy]benzocycloheptene-5-carboxylate (3e). 2e (0.390 g, 2 mmol), rhodium(II) pivalate, hexane, silica, diethyl ether/ petroleum ether (1:9); yield 0.459 g (62%) of a colorless oil: IR (neat) 2940, 2880, 2840, 2820, 1700, 1650, 1440, 1320 cm⁻¹; ¹H NMR (CDCl₃) δ 4.85 (dd, 1 H, J = 9.8, 3.7 Hz), 4.17 (q, 2 H, J = 7.2 Hz), 3.46 (s, 3 H), 3.26 (m, 1 H), 3.19 (s, 3 H), 2.84 - 1.34 (m, 10 H), 1.28 (t, 3 H, J = 7.2 Hz), 0.13 (s, 9 H); MS m/e (relative intensity) 368 (18), 336 (5), 307 (20), 263 (36), 191 (15), 149 (10), 119 (11), 73 (100); HRMS calcd for C₁₉H₃₂O₅Si 368.2019, found: 368.2024.

Dimethylethyl 4,5-Dimethoxy-4-[(trimethylsilyl)oxy]cyclohepta-1,5-diene-1-carboxylate (3f). 2f (0.42 g, 2.5 mmol), rhodium(II) octanoate, hexane, silica, diethyl ether/ petroleum ether (1:4); yield 0.514 g (60%) of a colorless oil: IR (neat) 2960, 2820, 1700 br, 1640, 1450 cm⁻¹; ¹H NMR (CDCl₃) & 6.81 (apparent t, 1 H, J = 6.6 Hz), 4.64 (apparent t, 1 H, J = 6.6 Hz), 3.48 (s, 3 H), 3.28 (s, 3 H), 3.14 (dd, 1 H, J = 17.0, 6.4 Hz), 3.00 (dd, 1 H, J = 17.0, 6.1 Hz), 2.76 (dd, 1 H, J = 17.0, 7.1 Hz), 2.58 (dd, 1 H, J = 17.0, 6.4 Hz), 1.47 (s, 9 H), 0.12 (s, 9 H); ¹³C NMR (CDCl₃) & 165.7, 155.7, 137.5, 135.6, 98.5, 98.0, 80.2, 53.7, 49.7, 37.8, 28.0, 22.5, 1.5; MS m/z (relative intensity) 342 (85), 329 (100), 317 (30), 285 (40), 272 (45), 255 (60); HRMS Calcd for C₁₇H₃₀O₅Si 342.1863, found 342.1872.

Methyl 3,4,5-Trimethoxy-4-[(trimethylsilyl)oxy]cyclohepta-1,5-diene-1-carboxylate (3h). 6 (1.50 g, 8.71 mmol) was converted to 2h by the published procedure.¹⁹ After rapid purification by chromatography on silica using diethyl ether/ hexane (1:19) as eluant, the vinyldiazomethane fraction was concentrated to 100 mL, and then added over a 10 min period to a solution of 2 (8.80 g, 44 mmol) and rhodium(II) pivalate (0.0517 g, 0.0871 mmol) in hexanes (50 mL) using the general procedure. Silica, diethyl ether/ petroleum ether (1:9), yield 0.89 g (31%) of a colorless oil: IR (neat) 2950, 2900, 2820, 1705, 1650, 1435 cm⁻¹; ¹H NMR (CDCl₃) δ 6.96 (d, 1 H, J= 6.1 Hz), 4.84 (t, 1 H, J= 6.1 Hz), 4.00 (d, 1 H, J= 6.1 Hz), 3.73 (s, 3 H), 3.47 (s, 3 H), 3.41 (s, 3 H), 3.23 (s, 3 H), 3.23 (dd, 1 H, J= 18.8, 6.1 Hz), 3.09 (dd, 1 H, J= 18.8, 6.1 Hz), 0.11 (s, 9 H); MS m/e (relative intensity); 330 (16), 283 (10), 209 (8), 191 (30), 167 (37), 151 (20), 135 (14), 89 (40), 73 (100); HRMS calcd for C₁₅H₂₆O₆Si 330.1499, found 330.1482

Diethyl 4-Hydroxy-5-methoxycyclohepta-1,3,5-triene-1,3-dicarboxylate (4a). A solution of **3a** (0.7739 g, 2 mmol) and 10% HCl (10 mL) in THF (10 mL) was stirred at room temperature for 1 h. The mixture was poured onto water and extracted with diethyl ether. The organic portion was dried (MgSO₄) and concentrated. Purification by chromatography on silica using diethyl ether as eluant afforded **4a** as a white solid: mp 90-92 °C; 0.444 g (79% yield); IR (CHCl₃) 1695, 1650, 1550, 1465, 1445 cm⁻¹; ¹H NMR (CDCl₃) δ 13.6 (s, 1 H), 7.40 (s, 1 H), 5.23 (t, 1 H, J = 7.9 Hz), 4.29 (q, 2 H, J = 7.1 Hz), 4.18 (q, 2 H, J = 7.1 Hz), 3.56 (s,

3 H), 2.63 (d, 2 H, J = 7.9 Hz), 1.31 (t, 3 H, J = 7.1 Hz), 1.26 (t, 3 H, J = 7.1 Hz); ¹³C NMR (CDCl₃) δ 172.1, 168.9, 165.9, 150.8, 130.4, 125.4, 106.7, 104.9, 62.0, 60.7, 56.1, 21.9, 14.3, 14.2. Anal. Calcd for C₁₄H₁₈O₆ C, 59.57; H, 6.43. Found: C, 59.45; H, 6.45.

2,4-Bis(ethoxycarbonyl)-7-methoxycyclohepta-2,4,6-trien-1-one (5a). A stirred solution of **4a** (0.399 g, 1.5 mmol) and DDQ (0.38 g, 1.65 mmol) in benzene (25 mL) was heated under reflux for 24 h. The mixture was concentrated and the product was purified by chromatography on silica using diethyl ether/ petroleum ether(4:1) as eluant to afford **5a** as a white solid: mp 142-144 °C; 0.366 g (84% yield); ¹H NMR (CHCl₃) δ 8.26 (d, 1 H, J = 1.7 Hz), 8.10 (dd, 1 H, J = 10.3, 1.7 Hz), 6.70 (d, 1 H, J = 10.3 Hz), 4.33 (q, 2 H, J = 7.1 Hz), 4.31 (q, 2 H, J = 7.1 Hz), 3.96 (s, 3 H), 1.35 (t, 3 H, J = 7.1 Hz), 1.31 (t, 3 H, J=7.1 Hz); ¹³C NMR (CDCl₃) δ 177.3, 168.3, 167.7, 165.6, 138.7, 137.7, 134.8, 127.1, 109.8, 62.2, 62.0, 57.2, 14.3, 14.1; MS m/e (relative intensity) 280 (9), 251 (100), 223 (43), 207 (32), 179 (33), 149 (13), 121 (8), 95 (8), 77 (12), 58 (24). Anal. Calcd for C₁₄H₁₆O₆: C, 60.00; H, 5.75. Found: C, 59.91; H, 5.70.

Oxidation of Cycloheptadiene 3 to 2-Methoxytropones 5. General Procedures. Method A. A stirred solution of 3 (4 mmol), DDQ (5 mmol) and p-toluenesulfonic acid monohydrate (1 mmol) in benzene (75 mL) and water (10 mL) was heated under reflux for 2-12 h. The mixture poured onto water and extracted with ethyl acetate. The organic portion was dried (MgSO₄) and concentrated.

Method B. A solution of 3 (1 mmol) and citric acid (3 mmol) were stirred in THF (10 mL) and water (10 mL) for 2 h. The mixture was poured onto water and extracted with diethyl ether. The ether extracts were dried (MgSO₄) and concentrated to provide crude 4 as an oil. The crude 4 was stirred with DDQ (0.908 g, 4.0 mmol) in benzene (20 mL) for 96 h.

5-[(Dimethylethoxy)carbonyl]-2-methoxy-7-phenylcyclohepta-2,4,6-trien-1-one (5b). Method A, **3b** (1.768 g, 4.22 mmol), purification by chromatography on silica using ethyl acetate as eluant afforded pure 5b as a colorless solid: 1.21 g (91% yield); IR (CHCl₃) 2980, 1700, 1590, 1410 cm⁻¹; ¹H NMR (benzene-d₆) δ 8.56 (d, 1 H, J = 1.7 Hz), 7.93 (dd, 1 H, J = 10.2, 1.7 Hz), 7.72 (br d, 2 H, J = 7.0 Hz), 7.37-7.25 (m, 3 H), 6.00 (d, 1 H, J = 10.2 Hz), 3.30 (s, 3 H), 1.57 (s, 9 H). Anal. Calcd for C₁₉H₂₀O₄: C, 73.06; H, 6.45. Found: C, 72.95; H, 6.50.

5-(Ethoxycarbonyl)-2-methoxy-7-(phenylthio)cyclohepta-2,4,6-trien-1-one (5c). 2 c (1.26 g, 5 mmol) was converted to 3c using rhodium(II) pivalate/hexane as described in the general procedure. Unpurified 3c, Method A, purification by chromatography on silica using ether as eluant afforded 5c as a yellow solid: mp 158-160 °C; 0.729 g (46% yield); IR (CHCl₃) 1710, 1530, 1430, 1330 cm⁻¹; ¹H NMR (CDCl₃) δ 7.93 (dd, 1 H, *J*= 10.6, 1.4 Hz), 7.62 (d, 1 H, *J*= 1.4 Hz), 7.59-7.49 (m, 5 H), 6.89 (d, 1 H, *J*= 10.6 Hz), 4.15 (q, 2 H, *J*= 7.1 Hz), 4.03 (s, 3 H), 1.15 (t, 3 H, *J*= 7.1 Hz); ¹³C NMR (CDCl₃) δ 175.1, 166.1, 161.7, 153.7, 136.3, 132.1, 131.0, 130.1, 130.0, 128.5, 126.7, 111.9, 61.8, 56.9, 13.9; MS m/e (relative intensity) 316 (43), 281 (24), 243 (12), 207 (100), 177 (35), 149 (20), 110 (25), 96 (23), 55 (21). Anal. Calcd for C₁₇H₁₆O₄S: C,64.54; H, 5.10. Found: C, 64.66; H, 5.17.

2,3-Dihydro-5-methoxy-8-(methoxycarbonyl)-4(1H)-azulenone (5d). 3d (0.544 g, 1.6 mmol), method A, purification by chromatography on silica using ether/ petroleum ether (1:1), then (1:1) ether/ dichloromethane as eluant afforded 5d: mp 92-95 °C; 0.301 g (80% yield); IR (CHCl₃) 1705, 1570, 1500 cm⁻¹; ¹H NMR (CDCl₃) δ 7.67 (d, 1 H, J= 10.7 Hz), 6.65 (d, 1 H, J= 10.7 Hz), 3.94 (s, 3 H), 3.85 (s, 3 H), 3.27 (t, 2 H, J = 7.6 Hz), 3.09 (t, 2 H, J = 7.6 Hz), 1.89 (pentet, 2 H, J = 7.6 Hz); ¹³C NMR (CDCl₃) δ 176.9, 168.2, 164.9, 151.3, 149.0, 134.1, 129.3, 109.5, 56.4, 52.5, 39.5, 36.4, 22.0; MS m/e (relative intensity) 234 (100), 205 (38), 191 (30), 173 (43), 147 (64), 131 (20), 115 (51), 103 (30), 77 (32). Anal. Calcd for C₁₃H₁₄O₄: C, 66.66; H, 6.02. Found: C, 66.77; H, 6.04.

9-(Ethyoxycarbonyl)-1,2,3,4-tetrahydro-6-methoxy-5H-benzocyclohepten-5-one (5e). 3e (0.3682 g, 1 mmol) method B, purification by chromatography on silica using ether/ petroleum ether (1:1) as eluant afforded 5e as a colorless solid: 0.155 g (59% yield); IR (neat) 2880, 2820, 2800, 1680, 1555, 1500 cm⁻¹; ¹H NMR (CDCl₃) δ 7.04 (d, 1 H, J = 9.8 Hz), 6.42 (d, 1 H, J = 9.8 Hz), 4.30 (q, 2 H, J = 7.2 Hz), 3.85 (s, 3 H), 2.79 (t, 2 H, J = 5.7 Hz), 2.68 (t, 2 H, J = 5.5 Hz), 1.73-1.63 (m, 4 H), 1.34 (t, 3 H, J = 7.2 Hz); ¹³C NMR (CDCl₃) δ 182.0, 169.5, 162.0, 144.9, 142.5, 135.3, 128.5, 107.8, 61.6, 56.4, 31.1, 28.2, 21.6, 21.3, 14.2; MS m/e (relative intensity) 262 (100), 233 (64), 217 (14), 187 (34), 173 (20), 149 (17), 129 (24), 105 (14), 70 (35). Anal. Calcd for C₁₅H₁₈O₄: C, 68.69; H, 6.92. Found: C, 68.71; H, 6.91.

5-[(Dimethylethoxy)carbonyl]-2-methoxycyclohepta-2,4,6-trien-1-one (5f). 3f (0.3425 g, 1 mmol), method A, purification by chromatography on silica using ether/ petroleum ether (1:1), then (1:1) ether/ dichloromethane as eluant afforded 5f as a white solid: mp 92-95 °C; 0.142 g (60% yield); IR (CHCl₃) 2960, 1720, 1500 cm⁻¹; ¹H NMR (CDCl₃) δ 7.97 (dd, 1 H, J = 10.5, 1.8 Hz), 7.87 (dd, 1 H, J = 12.7, 1.8 Hz), 7.16 (d, 1 H, J = 12.7 Hz),6.71 (d, 1 H, J = 10.5 Hz) 3.95 (s, 3 H), 1.53 (s, 9 H); ¹³C NMR (CDCl₃) δ 179.9, 167.0, 164.8, 136.0, 135.1, 130.1, 110.4, 82.2, 56.5, 27.9; MS m/e (relative intensity) 236 (15), 180 (100), 151 (63), 135 (41), 107 (19), 105 19), 77 (13), 57 (25). Anal. Calcd for C₁₃H₁₆O₄: C, 66.07; H, 6.83. Found: C,65.96; H, 6.82.

2-Methoxy-5-(methoxycarbonyl)-7-methylcyclohepta-2,4,6-trien-1-one (5g). 2g (0.28 g, 2 mmol) was converted to 3g using rhodium(II) pivalate. Unpurified 3g, method A, purification by chromatography on silica using ether/dichloromethane (4:1) as eluant afforded 5g as a white solid: mp 118-120 °C; 0.278 g (67% yield); IR (CHCl₃) 1700, 1570, 1500, 1450 cm⁻¹; ¹H NMR (CDCl₃) δ 8.12 (br s, 1 H), 7.97 (d, 1 H, *J*= 10.5 Hz), 6.72 (d, 1 H, *J*= 10.5 Hz), 3.96 (s, 3 H), 3.89 (s, 3 H), 2.37 (s, 3 H); ¹³C NMR (CDCl₃) δ 179.6, 167.0, 165.2, 145.1, 134.5, 134.0, 127.3, 110.0, 56.6, 52.8, 23.7; MS m/e (relative intensity) 208 (100), 193 (10), 179 (45), 149 (100), 147 (34), 121 (39), 105 (29), 91 (54), 77 (46). Anal. Calcd for C₁₁H₁₂O₄: C, 63.45; H, 5.81. Found: C, 63.23; H, 5.77.

2,7-Dimethoxy-5-(methoxycarbonyl)cyclohepta-2,4,6-trienone (5h). 3h (0.660 g. 2 mmol), method A, purified by chromatography on alumina using ether/ petroleum ether (1:1) as eluant to afford 5h as a colorless solid: 0.262 g (58% yield); IR (neat) 2900, 2840, 1700, 1575, 1550, 1440 cm⁻¹; ¹H NMR (CDCl₃) δ 7.86 (dd, 1 H, J = 10.4, 1.3 Hz), 7.64 (d, 1 H, J = 1.3 Hz), 6.85 (d, 1 H, J = 10.4 Hz), 3.96 (s, 6 H), 3.91 (s, 3 H); ¹³C NMR (CDCl₃) δ 173.7, 167.0, 160.2, 129.1, 126.1, 112.4, 111.6, 56.7, 56.5, 53.0, one signal superimposed; MS m/e (relative intensity) 224 (87), 209 (100), 179 (68), 165 (30), 149 (16), 135 (20), 107 (21), 91 (13), 79 (59). Anal. Calcd for C₁₁H₁₂O₅: C,58.93; H, 5.39. Found: C, 59.04; H, 5.37.

Synthesis of 3-Methoxytropones (9). General Procedure. A stirred solution of 2 (5 mmol) in hexane (10 mL) was added over a 10 min period to stirred solution of 7 (2.02 g, 10 mmol) and rhodium(II) pivalate dimer (0.0323 g, 0.05 mmol) in hexane (10 mL), heated under reflux in a an argon atmosphere. The resulting solution was refluxed for an additional 10 min, concentrated, and the excess diene was removed by Kugelrohr distillation to provide crude 3 as an unstable oil. The crude 3 and VO(OR)Cl₂^{21,22} (15.0 mmol) in methanol (25 mL) were heated under reflux for 30 min. Ten drops of conc HCl was then added and the mixture was poured onto saturated sodium chloride solution and extracted twice with diethyl ether. The ether extracts were dried (MgSO₄), concentrated and the residue was purified by chromatography on silica using ether/ petroleum ether mixtures as eluant. The scale of reaction, the vanadyl reagent and chromatographic solvent used are presented in that order in abbreviated format.

5-(Ethyoxycarbonyl)-3-methoxy-7-(phenylthio)cyclohepta-2,4,6-trien-1-one (9c) and 5-(Ethoxycarbonyl)-3-methoxy-5-[(2-phenylthio)-1-ethenyl]cyclopent-2-en-1-one (10). 2c (0.50 g, 2 mmol), VO(OiPr)Cl₂,²² diethyl ether: gave two compounds: 9c: yield 0.067 g (11%); mp 162-164 °C; IR (CHCl₃) 1700, 1580, 1500, 1450, 1355 cm⁻¹; ¹H NMR (CDCl₃) δ 7.60-7.49 (m, 6 H), 7.19 (d, 1 H, *J* = 1.4 Hz), 6.66 (d, 1 H, *J* = 2.7 Hz), 4.16 (q, 2 H, *J* = 7.1 Hz), 3.82 (s, 3 H), 1.17 (t, 3 H, *J* = 7.1 Hz); ¹³C NMR (CDCl₃) δ 180.4, 165.6, 164.6, 159.5, 136.1, 130.9, 130.6, 130.2, 130.1, 130.1, 123.8, 115.2, 62.3, 56.1, 13.9; MS m/e (relative intensity) 316 (100), 288 (87), 260 (11), 243 (29), 200 (48), 171 (23), 144 (13), 110 (28), 77 (15), 69 (21); HRMS calcd for C₁₇H₁₆O₄S: 316.0769, found: 316.0793.

10: yield 0.488 g (77%); IR (neat) 3080, 3040, 2975, 2920, 2880, 2840, 1690, 1590 cm⁻¹; ¹H NMR (CDCl₃) E/Z ratio 1 : 4; E isomer δ 7.30-7.19 (m, 5 H), 6.44 (d, 1 H, J= 9.7 Hz), 6.22 (d, 1 H, J= 9.7 Hz), 5.28 (br s, 1 H), 4.18 (q, 2 H, J= 7.1 Hz), 3.87 (s, 3 H), 3.56 (d, 1 H, J= 17.6 Hz), 2.91 (d, 1 H, J= 17.6 Hz), 1.22 (t, 3 H, J= 7.1 Hz). Z isomer δ 7.30-7.19 (m, 5 H), 6.41 (d, 1 H, J= 15.5 Hz), 6.19 (d, 1 H, J= 15.5 Hz), 5.22 (br s, 1 H), 4.17 (q, 2 H, J= 7.1 Hz), 3.86 (s, 3 H), 3.31 (d, 1 H, J= 17.7 Hz), 2.73 (d, 1 H, J= 17.7 Hz), 1.24 (t, 3 H, J= 7.1 Hz); ¹³C NMR (CDCl₃) Z isomer δ 199.2, 190.6, 169.3, 135.1, 129.9, 129.3, 129.1, 129.0, 128.0, 127.1, 101.4, 62.4, 59.2, 39.3, 14.0; MS m/e (relative intensity) 318 (59), 273 (2), 245 (56), 209 (100), 181 (30), 136 (61), 109 (46), 77 (18), 69 (45). Anal. Calcd for C₁₇H₁₈O₄S: C, 64.13; H, 5.70. Found: C, 63.99; H, 5.78.

3-Methoxy-5-(methoxycarbonyl)-7-methylcyclohepta-2,4,6-trien-1-one (9g). 2g (0.282 g, 2 mmol), VO(OiPr)Cl₂,²² ether/petroleum ether (1:1); yield 0.243 g (58%) of a white solid: mp 96-98 °C; IR (CHCl₃) 1700, 1600, 1570 cm⁻¹; ¹H NMR (CDCl₃) δ 7.74 (br s, 1 H), 7.64 (br s, 1 H), 6.53 (d, 1 H, J = 2.9 Hz), 3.88 (s, 3 H), 3.75 (s, 3 H), 2.25 (s, 3 H); ¹³C NMR (CDCl₃) δ 184.9, 166.5, 163.6, 150.7, 134.0, 131.7, 130.0, 118.4, 55.8, 53.2, 23.1; MS m/e (relative intensity) 208 (35), 180 (51), 149 (100), 121 (44), 106 (8), 91 (22), 77 (19). Anal. Calcd for C₁₁H₁₂O₄: C, 63.45; H, 5.81. Found: C, 63.54; H, 5.85.

3-Methoxy-5-(methoxycarbonyl)-7-phenylcyclohepta-2,4,6-trien-1-one (9i). 2i (1.01 g, 5 mmol), VO(OEt)Cl₂,²¹ ether/petroleum ether (1:4); yield 0.839 g (62%) of a yellow solid: mp 128-130 °C; IR (CHCl₃) 1715, 1630, 1570 cm⁻¹; ¹H NMR (CDCl₃) δ 7.82 (d, 1 H, J = 1.4 Hz), 7.73 (dd, 1 H, J = 2.9, 1.4 Hz), 7.49-7.36 (m, 5 H), 6.66 (d, 1 H, J = 1.4 Hz), 3.91 (s, 3 H), 3.81 (s, 3 H); ¹³C NMR (CDCl₃) δ 183.7, 165.9, 162.9, 151.1, 139.5, 134.5, 131.4, 131.1, 128.6, 128.1, 127.7, 119.9, 55.6, 52.9.; MS m/e (relative intensity) 270 (59), 242 (74), 211 (100), 168 (59), 152 (23), 139 (54), 105 (22), 77 (31), 69 (28). Anal. Calcd for C₁₆H₁₄O₄: C,71.10; H, 5.22. Found: C, 71.00; H, 5.28.

3-(Ethylthio)-7-methoxy-5-(methyoxycarbonyl)cyclohepta-2,4,6-trien-1-one (9j). 2j (0.92 g, 5 mmol) VO(OiPr)Cl₂,²² diethyl ether; yield 0.495 g (39%) of a yellow solid: mp 110-112 °C; IR (CHCl₃) 1710, 1560, 1500, 1400 cm⁻¹; ¹H NMR (CDCl₃) δ 7.61 (dd, 1 H, J= 2.9, 1.3 Hz), 7.56 (br s, 1 H), 6.59 (d, 1 H, J= 2.9 Hz), 3.93 (s, 3 H), 3.80 (s, 3 H), 2.89 (q, 2 H, J= 7.4 Hz), 1.42 (t, 3 H, J= 7.4 Hz); ¹³C NMR (CDCl₃) δ 181.0, 166.7, 164.4, 157.5, 130.4, 129.5, 122.2, 114.7, 56.1, 53.4, 25.7, 12.1; MS m/e (relative intensity) 254 (100), 221 (41), 198 (9), 193 (48), 167 (17), 135 (29), 123 (10), 95 (13), 69 (24), 59 (19). Anal. Calcd for C₁₂H₁₄O₄S: C,56.68; H, 5.55. Found: C, 56.49; H, 5.54.

3-Methoxy-5-(methoxycarbonyl)-5-[(2-(Z)-methoxy)ethenyl]cyclopent-2-en-1-one (11). 6 (1.50 g, 8.71 mmol) was converted to 2h by the published procedure.¹⁹ After rapid purification by chromatography on silica using diethyl ether/ hexane (1:19) as eluant, the vinyldiazomethane fraction was concentrated to 100 mL, and then added over a 10 min period to a solution of 7 (8.80 g, 44 mmol) and rhodium(II) pivalate dimer (0.0563 g, 0.0871 mmol) in hexanes (50 mL) using the general procedure. Diethyl ether/ petroleum ether (1:9); yield 0.624 g (32%) of a colorless oil: IR (neat) 3080, 2940, 2840, 1720, 1690, 1660, 1600, 1430 cm⁻¹; ¹H NMR (CDCl₃) δ 5.99 (d, 1 H, J= 6.4 Hz), 5.23 (s, 1 H), 4.89 (d, 1 H, J= 6.4 Hz), 3.86 (s, 3 H), 3.69 (s, 3 H), 3.55 (s, 3 H), 3.39 (d, 1 H, J= 17.6 Hz), 2.87 (d, 1 H, J= 17.6 Hz); ¹³C NMR $(CDCl_3) \delta 200.2, 190.6, 170.8, 148.4, 103.7, 101.0, 59.9, 58.9, 58.5, 53.0, 40.6;$ MS m/e (relative intensity) 226 (35), 184 (20), 167 (60), 152 (6), 139 (12), 125 (50), 95 (21), 95 (21), 75 (100); HRMS calcd for $C_{12}H_{14}O_5 226.0841$, found 226.0840

Acknowledgement. Financial support of this work by the National Science Foundation (CHE 9024248) and the Petroleum Research Fund, administered by the American Chemical Society, is gratefully acknowledged. Low resolution mass spectra were obtained on an instrument purchased with the partial support of The National Science Foundation (CHE-9007366). The authors thank Ms. Mary Uhrig from R. J. Reynolds Tobacco Company for some of the high resolution mass spectral determinations. High resolution mass spectral determinations were also performed by the Midwest Center for Mass spectrometry (NSF DIR9017262).

REFERENCES AND NOTES

- 1. Banwell, M. G. Aust. J. Chem. 1991, 44, 1.
- 2. For a general overview of earlier syntheses of tropolones, see: Fleming, I. Selected Organic Syntheses; John Wiley and Sons, London, 1973, pp 183-207.
- 3. Evans, D. A.; Tannis, S. P.; Hart, D. J. J. Am. Chem. Soc. 1981, 103, 5813.
- 4. Banwell, M. G. J. Chem. Soc., Chem. Commun. 1982, 847.
- 5. Amon, G. M.; Banwell, M. G.; Gravatt, G. L. J. Org. Chem. 1987, 52, 4851.
- 6. Banwell, M. G.; Onrust, R. Tetrahedron Lett. 1985, 26, 4543.
- 7. Roberts, V. A.; Garst, M. E.; Torres, N. E. J. Org. Chem. 1984, 49, 1136.
- 8. Kende, A. S.; Koch, K. Tetrahedron Lett. 1986, 27, 6051.
- 9. Dennis, N.; Katritzky, A. R.; Parton, S. K.; Nomura, Y.; Takahashi, Y.; Takeuchi, Y. J. Chem. Soc., Perkin Trans. 1976, 2289.
- 10. Tamura, Y.; Saito, T.; Kiyokawa, H.; Chen, L.C.; Ishibishi, H. Tetrahedron Lett. 1977, 4075.
- 11. Mak, C.; Buchi, G. J. Org. Chem. 1981, 46, 1.
- 12. Boger, D. L.; Brotherton, C. E. J. Am. Chem. Soc. 1986, 108, 6713.
- 13. Boger, D. L.; Brotherton, C. E. Tetrahedron 1986, 42, 2777.
- 14. Noyori, R.; Makino, S.; Okita, T.; Hayakawa, Y. J. Org. Chem. 1975, 40, 806; 15.
- 15. Takaya, H.; Hayakawa, Y.; Makino, S.; Noyori, R. J. Am. Chem. Soc. 1978, 100, 1778.
- 16. For an alternative approach involving reactions of carbenoids with dienes, see: Wenkert, E.; Greenberg, R. S.; Kim, H. S. *Helv. Chim. Acta* 1987, 70, 2159.
- 17. Davies, H. M. L.; Clark, T. J.; Kimmer, G. F. J. Org. Chem. 1991, 56, 6440.
- 18. Davies, H. M. L. Tetrahedron 1993, 49, 5203.
- 19. Davies, H. M. L.; Hougland, P. W.; Cantrell, W. R., Jr. Synth. Commun. 1992, 31, 6299.
- 20. Savard, J.; Brassard, P. Tetrahedron 1984, 40, 3455.
- 21. Hirao, T.; Mori, M.; Oshiro, Y. J. Org. Chem. 1990, 55, 358.
- 22. Hira, T.; Fuji, T.; Oshiro, Y. J. Organomet. Chem. 1991, 407, C1.

(Received in USA 10 May 1994; accepted 27 June 1994)